



### Source of Funds and Source of Wealth

Anti-money laundering rules have evolved over the years and we are required to understand the origin of an investment prior to completing any transaction. Please provide sufficient information to allow us to understand how you have obtained the funds for your investment and the source of wealth.

Kindly be informed that all information and documents will remain confidential and secure.

### Source of Funds (SoF) definition

Refers to the origin and means of transfer of fiat or digital assets to be deposited, with a focus on the amount(s) and specific method(s) by which the transfer(s) will occur. Information should be gathered to understand the origin of initial deposit and subsequent funding where necessary. SoF information is also useful when monitoring and reviewing client account activity.

### Source of Wealth (SoW) definition

Refers to a description of the economic, business and/or commercial activities that generated or significantly contributed to the client's overall net worth. The purpose of this is to assess the customer's wealth generating activities. Types of SoW often include:

- Capital of company/Dividends or income from business
- Gift/Inheritance
- Professional salary
- Profit from sold or maturing investments
- Profits from property sale
- Profits from sale of company
- Compensation payment

### Obtaining Evidence

We will request you to substantiate your source of funds and source of wealth with the appropriate documentation such as bank statements, company financial statements, company legal documents, property sale agreements, pay slips, share transfer documents, employment contracts, investment ledgers, etc. (Please see the following table).

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Sources	Acceptable documents
Employment Income	<ul style="list-style-type: none"> <li>- Nature of employer's business</li> <li>- Name and address of the employer</li> <li>- Annual salary and bonuses for the last couple of years</li> <li>- Last month/recent pay slip</li> <li>- Confirmation from the employer of annual salary</li> <li>- Latest accounts or tax declaration if self employed</li> </ul>
Savings / deposits	<ul style="list-style-type: none"> <li>- Bank statement and enquiry of the source of wealth</li> </ul>
Property Sale	<ul style="list-style-type: none"> <li>- Details of the property sold (i.e., address, date of sale, sale value of property sold, parties involved)</li> <li>- Copy of contract of sale</li> <li>- Title deed from land registry</li> </ul>
Sale of shares or other investment	<ul style="list-style-type: none"> <li>- Copy of contract</li> <li>- Sale value of shares sold and how they were sold (i.e., name of stock exchange)</li> <li>- Statement of account from agent</li> <li>- Transaction receipt/confirmation</li> <li>- Shareholder's certificate</li> <li>- Date of sale</li> </ul>
Loan	<ul style="list-style-type: none"> <li>- Loan agreement</li> <li>- Amount, date, and purpose of loan</li> <li>- Name and address of Lender</li> <li>- Details of any security</li> </ul>
Company Sale	<ul style="list-style-type: none"> <li>- Copy of the contract of sale</li> <li>- Internet research of Company Registry</li> <li>- Name and Address of Company</li> <li>- Total sales price</li> <li>- Clients' share participation</li> <li>- Nature of business</li> <li>- Date of sale and receipt of funds</li> <li>- Media coverage</li> </ul>
Company Profits / Dividends	<ul style="list-style-type: none"> <li>- Copy of latest audited financial statements</li> <li>- Copy of latest management accounts</li> <li>- Board of Directors approval</li> <li>- Dividend distribution</li> <li>- Tax declaration form</li> </ul>
Inheritance	<ul style="list-style-type: none"> <li>- Name of deceased</li> <li>- Date of death</li> <li>- Relationship to client</li> <li>- Date received</li> <li>- Total amount</li> <li>- Solicitor's details</li> <li>- Tax clearance documents</li> </ul>
Gift	<ul style="list-style-type: none"> <li>- Date received</li> </ul>

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	<ul style="list-style-type: none"><li>- Total amount</li><li>- Relationship to client</li><li>- Letter from donor explaining the reason for the gift and the source of donor's wealth</li><li>- Certified identification documents of donor</li><li>- Donor's source of wealth</li></ul>
Maturity/surrender of life policy	<ul style="list-style-type: none"><li>- Amount received</li><li>- Policy provider</li><li>- Policy number/reference</li><li>- Date of surrender</li></ul>
Other income sources	<ul style="list-style-type: none"><li>- Nature of income, amount, date received and from who</li><li>- Appropriate supporting documentation</li></ul>
<b><i>The list above is non-exhaustive. If in doubt, please direct your queries/concerns to <a href="mailto:compliance@gc.exchange">compliance@gc.exchange</a>.</i></b>	

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